

Proving Structural Properties of Sequent Systems in Rewriting Logic

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Abstract. General and effective methods are required for providing good automation strategies to prove properties of sequent systems. Structural properties such as admissibility, invertibility, and permutability of rules are crucial in proof theory, and they can be used for proving other properties such as cut-elimination. However, finding proofs for these properties require inductive reasoning over the provability relation, which is often quite elaborated, exponentially exhaustive, and error prone. This paper aims at developing automatic techniques for proving structural properties of sequent systems. The proposed techniques are presented in the rewriting logic metalogical framework, and use rewrite- and narrowing-based reasoning. They have been fully mechanized in Maude and have achieved a great degree of automation when used on several sequent systems including intuitionistic and classical logics, linear logic, and normal modal logics.

1 Introduction

Contemporary proof theory started with Gentzen’s natural deduction and sequent calculus in the 1930’s [8], and it has had a continuous development with the proposal of several proof systems for many logics. Proof systems are important tools for formalizing, reasoning, and analyzing structural properties of proofs, as well as determining computational and metalogical consequences of logical systems. Consequently, proposing *good* calculi is one of the main research topics in proof theory.

It is more or less consensus that a good proof system should support the notion of *analytic proof* [6], where every formula that appears in a proof must be a sub-formula of the formulas to be proved. This restriction can be exploited to prove important metalogical properties of sequent systems such as consistency. In sequent systems, analyticity is often guaranteed by the *cut-elimination* property: if B follows from A and C follows from B , then C follows from A . That is, intermediate lemmas (e.g., B) can be “cut” from the proof system. It turns out that the proof of cut-elimination for a given system is often quite elaborated, exponentially exhaustive, and error prone. Hence the need for general and effective methods for providing good automation strategies. In the case of cut-elimination, such methods strongly depend on the ability of showing *permutability* of rules which may depend on additional properties such as *admissibility* and *invertibility* of rules, which, in its turn, require involved induction-based reasoning.

Rewriting logic [7] is a *metalogical framework* that can be used to represent other logics and to reason about their metalogical properties [2]. When compared to a logical

framework, a metalogical framework is more powerful because it includes the ability to reason about a logic’s entailment relation as opposed to just being sound to simulate it. Moreover, important computational aspects of the theory under study need to be encoded in flexible ways, so that such a theory can become data, which can be modified and executed efficiently by a computational engine. Thanks to its reflective capabilities and initial reachability semantics, important inductive aspects of rewriting logic theories can be encoded in its own metalanguage so that theories, proofs, and provability can be mechanically analyzed with the help of rewriting logic systems such as Maude [7].

This paper develops new techniques, using rewriting logic as a metalogical framework, for reasoning about properties of sequent systems. Relying on rewrite- and narrowing-based reasoning, these techniques are presented as procedures for proving admissibility, invertibility, and permutability of inference rules. Such procedures have been fully implemented in Maude. The case study analyses include the following sequent systems: propositional intuitionistic logic (G3ip), multi-conclusion propositional intuitionistic logic (mLJ), propositional classical logic (G3cp), propositional linear logic (LL), and normal modal logics (K and S4). Beyond advocating for the use of rewriting logic as a metalogical framework, the novel algorithms presented here are able to automatically discharge many proof obligations and ultimately obtain the expected results.

The approach can be summarized as follows. The inference rules of a sequent system \mathcal{S} are specified as (backward) rewrite rules modulo structural axioms (e.g., associativity, commutativity, and identity) in $\mathcal{R}_{\mathcal{S}}$, inducing a rewrite relation $\rightarrow_{\mathcal{S}}$ on multisets of sequents. From the rewriting logic viewpoint, the main results presented here are metatheorems about inductive reachability properties of $\rightarrow_{\mathcal{S}}$. These metatheorems propose sufficient conditions for proving inductive properties that can be generated and checked with the help of rewriting and narrowing. More precisely, given an inductive property ϕ about \mathcal{S} , several subgoals ϕ_i are generated by unification modulo axioms. The system \mathcal{S} is extended to \mathcal{S}^I by adding inductive lemmas and, if each ϕ_i can be $\rightarrow_{\mathcal{S}^I}$ -rewritten to the empty multiset, then ϕ holds in the initial reachability model of \mathcal{S} . In such a process, the original rewrite theory $\mathcal{R}_{\mathcal{S}}$ is extended and modified in several ways, which is painlessly implemented with the off-the-shelf reflective capabilities of rewriting logic available in Maude. In general, the resulting metatheorems can be seen as tactics for automating reasoning of sequent systems in rewriting logic. This approach is *generic* in the sense that only mild restrictions are imposed on the formulas of the sequent system \mathcal{S} and *modular* since properties can be proved incrementally. *Outline.* Sec. 2 introduces the structural properties that will be considered; Sec. 3 presents order-sorted rewriting logic and its main features as a logical framework; Sec. 4 establishes how to prove the structural properties based on a rewriting approach; Sec. 5 shows how to automatize the process of proving the structural properties; Sec. 6 presents different sequent calculi and properties that can be proved with the approach. Finally, Sec. 7 concludes the paper and presents some future research directions.

2 Three Structural Properties of Sequent-based Logics

This section presents and illustrates three structural properties of sequent systems, namely, permutability, admissibility, and invertibility of rules. First, some notation and standard definitions are presented.

$$\begin{array}{c}
\frac{}{\Gamma, p \vdash p} I \quad \frac{\Gamma, F \vdash C \quad \Gamma, G \vdash C}{\Gamma, F \vee G \vdash C} \vee_L \quad \frac{\Gamma \vdash F_i}{\Gamma \vdash F_1 \vee F_2} \vee_{R_i} \quad \frac{\Gamma, F, G \vdash C}{\Gamma, F \wedge G \vdash C} \wedge_L \quad \frac{\Gamma \vdash F \quad \Gamma \vdash G}{\Gamma \vdash F \wedge G} \wedge_R \\
\\
\frac{}{\Gamma \vdash \top} \top_R \quad \frac{\Gamma \vdash C}{\Gamma, \top \vdash C} \top_L \quad \frac{}{\Gamma, \perp \vdash C} \perp_L \quad \frac{\Gamma, F \supset G \vdash F \quad \Gamma, G \vdash C}{\Gamma, F \supset G \vdash C} \supset_L \quad \frac{\Gamma, F \vdash G}{\Gamma \vdash F \supset G} \supset_R
\end{array}$$

Fig. 1: System G3ip for propositional intuitionistic logic. In the I rule, p is atomic.

Definition 1 (Sequents). A sequent is an expression of the form $\Gamma \vdash \Delta$ where Γ (the antecedent) and Δ (the succedent) are finite multisets of formulas. Systems with the restriction of having at most one formula at the succedent are called single-conclusion; systems with no such a restriction are called multiple-conclusion. Systems where Γ must be empty are called one-sided; otherwise they are called two-sided. A sequent calculus consists of a set of rules of the form

$$\frac{S_1 \quad \cdots \quad S_n}{S} r$$

where the sequent S is the conclusion inferred from the premise sequents S_1, \dots, S_n in the rule r . If the set of premises is empty, then r is an axiom. In a rule introducing a connective, the formula with that connective in the conclusion sequent is the principal formula, and its sub-formulas in the premises are the auxiliary formulas.

As an example, Fig. 1 presents the two-sided single-conclusion propositional intuitionistic sequent system G3ip [21]. In that system, for instance, the conclusion $F \vee G$ of \vee_L is the principal formula, while the formulas F and G are auxiliary formulas.

A *derivation* in a sequent calculus is a finite labelled tree with nodes labelled by sequents and a single root, axioms at the top nodes, and where each node is connected with the (immediate) successor nodes (if any) according to the inference rules.

Definition 2 (Height of derivation). The height of a derivation is the greatest number of successive applications of rules in it, where an axiom has height 0.

The structural property of rule permutability [17, 19] is stated next.

Definition 3 (Permutability). Let r_1 and r_2 be inference rules in a sequent calculus system \mathcal{S} . The rule r_2 permutes down r_1 , notation $r_2 \downarrow r_1$, if for every \mathcal{S} derivation of a sequent S in which r_1 operates on S and r_2 operates on one or more of r_1 's premises (but not on auxiliary formulas of r_1), there exists another \mathcal{S} derivation of S in which r_2 operates on S and r_1 operates on zero or more of r_2 's premises (but not on auxiliary formulas of r_2).

For instance, consider the left \vee_L and right \vee_{R_i} rules for disjunction in G3ip. First, it can be observed that $\vee_L \downarrow \vee_{R_i}$:

$$\frac{\frac{\Gamma, F \vdash C_i \quad \Gamma, G \vdash C_i}{\Gamma, F \vee G \vdash C_i} \vee_L}{\Gamma, F \vee G \vdash C_1 \vee C_2} \vee_{R_i} \rightsquigarrow \frac{\frac{\Gamma, F \vdash C_i}{\Gamma, F \vdash C_1 \vee C_2} \vee_{R_i} \quad \frac{\Gamma, G \vdash C_i}{\Gamma, G \vdash C_1 \vee C_2} \vee_{R_i}}{\Gamma, F \vee G \vdash C_1 \vee C_2} \vee_L$$

The inverse permutation, however, does not hold, i.e., $\vee_{R_i} \not\downarrow \vee_L$. In fact, in

$\frac{\frac{\Gamma, F \vdash C_i}{\Gamma, F \vdash C_1 \vee C_2} \vee_{R_i} \quad \Gamma, G \vdash C_1 \vee C_2}{\Gamma, F \vee G \vdash C_1 \vee C_2} \vee_L$ the provability of $\Gamma, G \vdash C_1 \vee C_2$ does not imply the provability of $\Gamma, G \vdash C_i$; hence, such a derivation cannot start by applying the rule \vee_{R_i} .

Other two important structural properties are admissibility and invertibility.

Definition 4 (Admissibility and Invertibility). Let \mathcal{S} be a sequent system. An inference rule $\frac{S_1 \dots S_n}{S}$ is called:

- i. admissible in \mathcal{S} if S is derivable in \mathcal{S} whenever S_1, \dots, S_n are derivable in \mathcal{S} .
- ii. invertible in \mathcal{S} if the rules $\frac{S}{S_1}, \dots, \frac{S}{S_n}$ are admissible in \mathcal{S} .

Proving invertibility often requires induction on the height of derivations, where all the possible rule applications have to be considered. For example, for proving that \vee_L is invertible in G3ip, the goal is to show that both $\Gamma, F \vdash C$ and $\Gamma, G \vdash C$ are provable whenever $\Gamma, F \vee G \vdash C$ is provable. The result follows by a case analysis on the shape of the proof of $\Gamma, F \vee G \vdash C$. Consider, e.g. the case when $C = A \supset B$ and the last rule applied is $\frac{\Gamma, F \vee G, A \vdash B}{\Gamma, F \vee G \vdash A \supset B} \supset_R$. Then, by the inductive hypothesis, $\Gamma, F, A \vdash B$ and $\Gamma, G, A \vdash B$ are provable and, by using \supset_R , the following hold:

$$\frac{\Gamma, F, A \vdash B}{\Gamma, F \vdash A \supset B} \supset_R \quad \text{and} \quad \frac{\Gamma, G, A \vdash B}{\Gamma, G \vdash A \supset B} \supset_R$$

as needed. On the other hand, \vee_{R_i} is *not* invertible: if p_1, p_2 are different atomic formulas, then $p_i \vdash p_1 \vee p_2$ is provable for $i = 1, 2$, but $p_i \not\vdash p_j$ for $i \neq j$.

In general, proving invertibility may involve some subtle details, as it will be seen in Sec. 6. A common one is the need for admissibility of the weakening structural rule. A *structural rule* does not introduce logical connectives, but instead changes the structure of the sequent. Since sequents are built from multisets, such changes are related to the cardinality of a formula or its presence/absence in a context. For example, the structural rules for *weakening* and *contraction* in the intuitionistic setting are:

$$\frac{\Gamma \vdash C}{\Gamma, \Delta \vdash C} \text{ W} \quad \frac{\Gamma, \Delta, \Delta \vdash C}{\Gamma, \Delta \vdash C} \text{ C}$$

These rules are admissible in G3ip. The proof of admissibility of weakening is independent of any other results and it is also by induction on the height of derivations (and considering all possible rule applications). Admissibility of contraction is more involved, often depending on invertibility results. As an example, suppose that

$$\frac{\frac{\Gamma, F \vee G, F \vdash C \quad \Gamma, F \vee G, G \vdash C}{\Gamma, F \vee G, F \vee G \vdash C} \vee_L}{\Gamma, F \vee G, F \vee G \vdash C} \vee_L$$

Observe that the inductive hypothesis cannot be applied since the premises do not have duplicated copies of auxiliary formulas. In order to obtain a proof, invertibility of \vee_L is needed: provability of $\Gamma, F \vee G, F \vdash C$ and $\Gamma, F \vee G, G \vdash C$ implies the provability of $\Gamma, F, F \vdash C$ and $\Gamma, G, G \vdash C$; moreover, by the inductive hypothesis, $\Gamma, F \vdash C$ and $\Gamma, G \vdash C$ are provable, and the result follows.

3 Rewriting Logic Preliminaries

This section briefly explains order-sorted rewriting logic [15] and its main features as a logical framework. Maude [7] is a language and tool supporting the formal specification and analysis of rewrite theories.

An *order-sorted signature* Σ is a tuple $\Sigma=(S, \leq, F)$ with a finite poset of sorts (S, \leq) and a set of function symbols F typed with sorts in S , which can be subsort-overloaded. For $X = \{X_s\}_{s \in S}$ an S -indexed family of disjoint variable sets with each X_s countably infinite, the *set of terms of sort s* and the *set of ground terms of sort s* are denoted, respectively, by $T_\Sigma(X)_s$ and $T_{\Sigma,s}$; similarly, $T_\Sigma(X)$ and T_Σ denote the set of terms and the set of ground terms. A *substitution* is an S -indexed mapping $\theta : X \longrightarrow T_\Sigma(X)$ that is different from the identity only for a finite subset of X and such that $\theta(x) \in T_\Sigma(X)_s$ if $x \in X_s$, for any $x \in X$ and $s \in S$. A substitution θ is called *ground* iff $\theta(x) \in T_\Sigma$ or $\theta(x) = x$ for any $x \in X$. The application of a substitution θ to a term t is denoted by $t\theta$.

A *rewrite theory* is a tuple $\mathcal{R} = (\Sigma, E \uplus B, R)$ with: (i) $(\Sigma, E \uplus B)$ an order-sorted equational theory with signature Σ , E a set of (possibly conditional) equations over T_Σ , and B a set of structural axioms – disjoint from the set of equations E – over T_Σ for which there is a finitary matching algorithm (e.g., associativity, commutativity, and identity, or combinations of them); and (ii) R a finite set of (possibly with equational conditions) rewrite rules over T_Σ . A rewrite theory \mathcal{R} induces a rewrite relation $\rightarrow_{\mathcal{R}}$ on $T_\Sigma(X)$ defined for every $t, u \in T_\Sigma(X)$ by $t \rightarrow_{\mathcal{R}} u$ if and only if there is a rule $(l \rightarrow r \text{ if } \phi) \in R$ and a substitution $\theta : X \longrightarrow T_\Sigma(X)$ satisfying $t =_{E \uplus B} l\theta$, $u =_{E \uplus B} r\theta$, and $E \uplus B \vdash \phi\theta$ [3].

Appropriate requirements are needed to make an equational theory \mathcal{R} *executable* in Maude. It is assumed that the equations E can be oriented into a set of (possibly conditional) sort-decreasing, operationally terminating, and confluent rewrite rules \vec{E} modulo B [7]. For a rewrite theory \mathcal{R} , the rewrite relation $\rightarrow_{\mathcal{R}}$ is undecidable in general, even if its underlying equational theory is executable, unless conditions such as coherence [22] are given (i.e, whenever rewriting with $\rightarrow_{R/E \uplus B}$ can be decomposed into rewriting with $\rightarrow_{E/B}$ and $\rightarrow_{R/B}$). The executability of a rewrite theory \mathcal{R} ultimately means that its mathematical and execution semantics coincide.

The rewriting logic specification of a sequent system \mathcal{S} is a rewrite theory $\mathcal{R}_{\mathcal{S}} = (\Sigma_{\mathcal{S}}, E_{\mathcal{S}} \uplus B_{\mathcal{S}}, R_{\mathcal{S}})$ where: $\Sigma_{\mathcal{S}}$ is an order-sorted signature describing the syntax of the logic \mathcal{S} ; $E_{\mathcal{S}}$ is a set of executable equations modulo $B_{\mathcal{S}}$ corresponding to those parts of the deduction process that, being deterministic, can be safely automated as *computation rules* without any proof search; and $R_{\mathcal{S}}$ is a set of executable rewrite rules modulo $B_{\mathcal{S}}$ capturing those non-deterministic aspects of logical inference in \mathcal{S} that require proof search. The point is that although both the computation rules $E_{\mathcal{S}}$ and the deduction rules $R_{\mathcal{S}}$ are executed by rewriting modulo the set of structural axioms $B_{\mathcal{S}}$, by the executable assumptions on $\mathcal{R}_{\mathcal{S}}$, the rewrite relation $\rightarrow_{E_{\mathcal{S}}/B_{\mathcal{S}}}$ has a single outcome in the form of a canonical form and thus can be executed blindly with “don’t care” non-determinism and without any proof search. Furthermore, $B_{\mathcal{S}}$ provides yet one more level of computational automation in the form of $B_{\mathcal{S}}$ -matching and $B_{\mathcal{S}}$ -unification algorithms. This interplay between axioms, equations, and rewrite rules can ultimately make the specification $\mathcal{R}_{\mathcal{S}}$ very efficient and have modest memory requirements.

4 Checking Admissibility, Invertibility, and Permutability

This section presents rewrite- and narrowing-based techniques for proving admissibility, invertibility, and permutability in sequent systems. These techniques are presented as metatheorems about sequent systems and provide sufficient conditions for proving the desired properties.

The techniques introduced in this section assume the existence of an unification algorithm for multisets (or sets) of sequents. Note that for a combination of free and associative and/or commutative and/or identity axioms, except for symbols that are associative but not commutative, a finitary unification algorithm CSU exists. Moreover, it is assumed that a sequent system \mathcal{S} is a set of inference rules with sequents in the set $T_{\Sigma_{\mathcal{S}}}(X)$, where $\Sigma_{\mathcal{S}}$ is an order-sorted signature (see Sec. 3). The expression $\mathcal{S}_1 \cup \mathcal{S}_2$ denotes the extension of the sequent system \mathcal{S}_1 by adding the inference rules of \mathcal{S}_2 (and *vice versa*); in this case, the sequents in the resulting sequent system $\mathcal{S}_1 \cup \mathcal{S}_2$ are terms in the signature $\Sigma_{\mathcal{S}_1} \cup \Sigma_{\mathcal{S}_2}$. Finally, given a term $t \in T_{\Sigma_{\mathcal{S}}}(X)$, with $\Sigma_{\mathcal{S}} = (S, \leq, F)$, $\bar{t} \in T_{(S, \leq, F \cup C_{\bar{t}})}(X)$ is the term obtained from t by turning each variable $x \in \text{vars}(t)$ of sort $s \in S$ in the (fresh) constant \bar{x} of sort s and where $C_{\bar{t}} = \{\bar{x} \mid x \in \text{vars}(t)\}$

Definition 5 introduces a notion of admissibility of a rule relative to another rule.

Definition 5. Let $\frac{S_1 \cdots S_m}{S} r_s$ be a rule, S be a sequent system and $\frac{T_1 \cdots T_n}{T} r_t$ be an inference rule in \mathcal{S} . The rule r_s is admissible relative to r_t in \mathcal{S} iff

$$(\forall \theta \in CSU(S, T)) S \cup \{\overline{T_j \theta} \mid j \in 1..n\} \cup \bigcup_{i \in 1..m} \bigcup_{j \in 1..n} \{\overline{S_i \gamma} \mid \gamma \in CSU(S_i, \overline{T_j \theta})\} \vdash \overline{S \theta},$$

where the variables in S and T are assumed disjoint.

A rule is admissible (see Sec. 2) if the set of theorems of the sequent system does not change when that rule is added to the existing rules of the system. Proving admissibility of a rule requires inductive reasoning on the length of the derivation and case analysis on each rule of the sequent system. The idea is that each substitution $\theta \in CSU(S, T)$ in Definition 5 covers (potentially) infinitely many proofs in which ground instances of $S\theta (= T\theta)$ can be obtained. Since the intention is to be able to perform such an inference without r_s , the goal is to prove $\overline{S\theta}$ in \mathcal{S} under some additional inductive hypothesis obtained from the premises of r_s and r_t . Note that since $T\theta$ can be proved (starting with r_t), then each one of the premises of r_t can be used as a hypothesis, i.e., the set $\{\overline{T_j \theta} \mid j \in 1..n\}$ of ground sequents can be assumed. Moreover, it can be assumed, by induction, that r_s can be used to prove $\overline{T_j \theta}$ if possible, namely, the set $\{\overline{S_i \gamma} \mid \gamma \in CSU(S_i, \overline{T_j \theta})\}$ can be assumed for $1 \leq i \leq m$ and $1 \leq j \leq n$. If the goal $\overline{S\theta}$ can be proved for each unifier θ under the given hypothesis, then r_s is considered admissible relative to r_t . Since a complete set of unifiers is finite for sequents, as assumed in this section for any sequent system \mathcal{S} , then there are finitely many proof obligations to discharge in order to check if a rule is admissible relative to a rule in a sequent system.

Theorem 1 presents sufficient conditions for the admissibility of rule in a sequent system based on the notion of admissibility relative to a rule.

Theorem 1. Let S be a sequent system and r_s an inference rule. If r_s is admissible relative to each r_t in S , then r_s is admissible in S .

Next definition introduces a notion of invertibility of a rule relative to another rule.

Definition 6. Let S be a sequent system and $\frac{S_1 \cdots S_m}{S} r_s, \frac{T_1 \cdots T_n}{T} r_t$ be inference rules in S . The rule r_s is invertible relative to r_t iff

$$(\forall \theta \in CSU(S, T)) S \cup \{\overline{T_j \theta} \mid j \in 1..n\} \cup \bigcup_{i \in 1..m} \bigcup_{j \in 1..n} \{\overline{S_i \gamma} \mid \gamma \in CSU(S, \overline{T_j \theta})\} \vdash \bigwedge_{i \in 1..m} \overline{S_i \theta},$$

where the variables in S and T are assumed disjoint.

For checking invertibility of a rule r_s relative to a rule r_t , both in a sequent system S , the goal is to show that each premise in the former is a consequence of the latter by using some inductive hypothesis that are obtained from the structure of the rules. For each $\theta \in CSU(S, T)$, these hypotheses are all the premise sequents $\overline{T_j \theta}$ of r_t that can be used for obtaining $\overline{T \theta}$. Each ground term $\overline{S_i \gamma}$ can also be used as an inductive hypothesis since any application of r_s on $\overline{T_j \theta}$ has shorter derivation than that of $\overline{T \theta}$.

Theorem 2 presents sufficient conditions for checking the invertibility of a rule in a sequent system.

Theorem 2. Let S be a sequent system and r_s an inference rule in S . If r_s is invertible relative to each r_t in S , then r_s is invertible in S .

This section is concluded by establishing conditions to prove permutability of rules.

Theorem 3. Let S be a sequent system and $\frac{S_1 \cdots S_m}{S} r_s, \frac{T_1 \cdots T_n}{T} r_t$ be inference rules in S . Then $r_s \downarrow r_t$ if

$$(\forall \theta \in CSU(S, T)) (\forall i \in 1..m) (\forall \gamma \in CSU(T, \overline{S_i \theta})) \\ S \cup \{\overline{T_j \gamma} \mid j \in 1..n\} \cup \{\overline{S_k \theta} \mid k \in 1..m \wedge k \neq i\} \vdash \bigwedge_{j \in 1..n} \overline{T_j \theta},$$

where the variables in S and T are assumed disjoint.

Checking permutability does not require induction but a proof transformation (see Sec. 2). As with admissibility and invertibility before, all unifiers between the conclusions S and T are considered. There is a proof obligation for each premise $S_i \theta$ where r_t can be applied ($\forall \gamma$ expression). In each of such proof obligations the goal is to show that the premisses of r_t are provable ($T_j \theta$ on the right). For that, it can be assumed that the premisses of r_t applied to the given premise of r_s are provable ($T_j \gamma$ expression). Moreover, all the other premisses of r_s are also assumed as provable ($S_k \theta$ expression).

5 Reflective Implementation

The design and implementation of a prototype that offers support for the narrowing procedures introduced in Sec. 4 is discussed. The reader is referred to <http://subsell.logic.at/theorem-maude> for the implementation and the experiments summarized in Sec. 6.

Sequent System Specification. The reflective implementation relies on the following functional module that needs to be realized by the object-logic (i.e., the system to be analyzed):

```
fmod OBJ-LOGIC is
  sorts Sequent SSequent . --- sequents and multisets of sequents
  subsort Sequent < SSequent .
  op proved : -> Sequent [ctor] . --- Proved sequent
  op _,_ : SSequent SSequent -> SSequent [ctor assoc comm id: proved] .
endfm
```

The sort `Sequent` is used to represent sequent terms and the sort `SSequent` for representing multisets of sequent terms separated by comma. The constant `proved` is the identity of the multiset constructor and represents the empty sequent (i.e., no goals need to be discharged).

When formalizing a sequent system \mathcal{S} as a rewrite theory $\mathcal{R}_{\mathcal{S}}$ there are two options (backwards or forwards) for expressing an inference rule as rewrite rule. In this paper, the backwards reasoning option is adopted, which rewrites the target goal of an inference system to its premises. Hence, for instance, the rule \wedge_L in G3ip will be expressed as a rewrite rule of the form $\Gamma, F \wedge G \vdash C \rightarrow \Gamma, F, G \vdash C$. The implementation assumes also an specific encoding for the inference rules as follows.

Definition 7 (Encoding logical rules). A sequent rule $\frac{S_1 \cdots S_m}{S} r_s$ is encoded in the reflective implementation as:

```
rl [rs] : S => proved . if m = 0; and
rl [rs] : S => S1, ..., Sm . if m > 0.
```

The implementation requires a module with any (reasonable) concrete syntax for formulas and sequents, and adhering to the encoding of inference rules above.

Example 1 (Specification of G3ip). The following snippet of code specifies the syntax for the propositional intuitionistic logic:

```
fmod FORMULA-PROP is
  sorts Prop Formula SFormula . --- Atomic propositions, Formulas and sets of formulas
  subsort Prop < Formula < SFormula .
  op p : Nat -> Prop [ctor] . --- atomic Propositions
  ops False True : -> Formula [ctor] . --- False and True
  ops _->_ _/\_ _\/_ : Formula Formula -> Formula [ctor] . --- connectives
  op * : -> SFormula . --- empty set of formulas
  op _;_ : SFormula SFormula -> SFormula [prec 40 ctor assoc comm id: * ] .
  eq F:Formula ; F:Formula = F:Formula . --- idempotency
endfm
```

The following module extends the module `OBJ-LOGIC` and specifies the inference rules of G3ip .

```
mod G3i is
  pr FORMULA-PROP .
  inc OBJ-LOGIC .
  --- Constructor for sequents .
  op _|--_ : SFormula SFormula -> Sequent [ctor prec 50 format(b o r o)] .
  --- Rules
  rl [I] : P ; C |-- P => proved .
  rl [AndL] : F /\ G ; C |-- H => F ; G ; C |-- H .
  rl [AndR] : C |-- F /\ G => (C |-- F) , (C |-- G) .
```



```

rl [ImpL] : C ; F --> G |-- H => ( C ; F --> G |-- F ) , ( C ; G |-- H ) .
...
endm

```

Property Specification. As noted in Sec. 4, the properties of interest are specified by a sequent system \mathcal{S} and an inference rule r . Given a rewrite theory $\mathcal{R}_{\mathcal{S}}$ representing \mathcal{S} , the inference rule r to be checked admissible, invertible, or permutable in \mathcal{S} is represented by a rewrite rule, expressed as a meta-term, in the syntax of \mathcal{S} .

Consider the property of invertibility of \wedge_R in the G3ip system, specified as:

```

op Th-InvAndR : -> Rule .
eq Th-InvAndR =
( rl '_|_--_['C:SFormula,'_/\_'F:Formula,'G:Formula]] =>
  '_\,'_['_|_--_['C:SFormula,'F:Formula],'_|_--_['C:SFormula,'G:Formula]]
  [ label('Th-inv-andR) ]. ) .

```

which is the meta-representation of the rule

```

rl [Th-inv-andR] : C |-- F /\ G => ( C |-- F , C |-- G ) .

```

Special care needs to be taken when the inference rule to check has extra variables in the premises. In general, the rewrite rule associated to such an inference rule would have extra variables in the right-hand side and could not be used for execution (unless a strategy is provided). Nevertheless, these extra variables can be encoded as fresh constants and obtain a rewrite rule that is executable (see Weakening in the next section).

The Algorithms. The reflective implementation offers functions that implement algorithms for each one of the theorems in Sec. 4; for sequent system $\mathcal{R}_{\mathcal{S}}$ and rule r :

`admissible?` checks if r is admissible in \mathcal{S} by validating the conditions in Thm. 1.
`invertible?` checks if r is invertible in \mathcal{S} by validating the conditions in Thm. 2.
`permutes?` checks if r permutes in \mathcal{S} by validating the conditions in Thm. 3.

The output of each one of these algorithms is a list of tests, one per rule in \mathcal{S} . The test for a rule r_t indicates whether r has the desired property relative to r_t . Since the entailment relation is, in general, undecidable, all the tests are performed up to a given search depth and, when it is reached, the procedure returns `false`. Hence the procedures are sound (in the sense of the theorems in Sec. 4) but not complete (due to the undecidability of the logic and the fact that the goals are inductive properties). The implementation includes also functions implementing macros based on these algorithms, e.g., `analyzePermutation` for checking the permutation status of all rules.

The implementation of these algorithms heavily use Maude's `META-LEVEL` module. In particular, the `metaDisjointUnify` function is used for computing the complete sets of unifiers and `metaSearch` for proof-search. Other features of this module are used, e.g., for transforming variables into constants, requiring module transformations at the meta-level.

6 Case Studies

This section presents different sequent calculi that can be checked with the algorithms presented in Sec. 5. For each calculi, the results about invertibility and admissibility of

the structural rules W (weakening) and C (contraction), and permutability are summarized in a table using the following convention:

- \checkmark_T means that the property holds for the given system and the tool is able to prove it (thus returning `true`).
- \checkmark_F means that the property does not hold for the given system and the tool returns `false`.
- \sim_{DN} means that the property holds but the tool was not able to prove it (then returning `false`).

6.1 System G3ip

An important remark is that propositional intuitionistic logic is decidable. However, since the rule \supset_L replicates the principal formula in the left premise, a careless specification of this rule can result in infinite computations. For instance, the sequent $p \supset q \vdash q$ is not provable. However, a proof search trying to rewrite that sequent into `proved` will generate the infinite chain of goals $(p \supset q \vdash p)$, $(p \supset q \vdash p)$, $(p \supset q \vdash p)$, \dots .

One solution for this problem is to consider *sets* instead of multisets of sequents (i.e., by adding an equation for idempotency in the module `SEQUENT`). This solution is akin to the procedure of detecting whether a sequent in a derivation tree is equal to one of its predecessors. In this way a complete decision procedure for propositional intuitionistic logic can be obtained.

The results for invertibility of rules and admissibility of structural rules for G3ip are summarized below.

Invertibilities										Structural		G3ip _W	G3ip _{+inv}	
I	\vee_L	\vee_{R_i}	\wedge_L	\wedge_R	\top_R	\top_L	\perp_L	\supset_L	\supset_R	\supset_L^{pR}	W	C	\supset_R	C
\checkmark_T	\checkmark_T	\checkmark_F	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_F	\sim_{DN}	\checkmark_T	\sim_{DN}	\checkmark_T	\checkmark_T

The non-invertible rules in this system are \vee_{R_i} and \supset_L . Note that \supset_R is invertible but the implementation failed to prove it. The reason is that the proof for this case requires admissibility of W. More precisely, consider the provable sequent $\Gamma, A \supset B \vdash$

$$F \supset G \text{ and suppose that the last applied rule was } \frac{\Gamma, A \supset B \vdash A \quad \Gamma, B \vdash F \supset G}{\Gamma, A \supset B \vdash F \supset G} \supset_L$$

By inductive hypothesis on the right premise, $\Gamma, B, F \vdash G$ is provable. Considering the left premise, since $\Gamma, A \supset B \vdash A$ is provable, admissibility of weakening implies that $\Gamma, A \supset B, F \vdash A$ is also provable, hence $\Gamma, A \supset B, F \vdash G$ is provable and the result follows. It turns out that the admissibility of W is automatically provable by the algorithms. Let G3ip_W denote the system G3ip with the admissible rule W added: in this system, the invertibility of \supset_R can be automatically proved.

Although the rule \supset_L is not invertible, it is invertible in its *right premise*. That is, if $\Gamma, F \supset G \vdash C$ is provable, then so is $\Gamma, G \vdash C$. This result can also be proved by induction on the height of the derivation and the implementation returns a positive answer (this corresponds to the entry \supset_L^{pR} in the table above).

Finally, as mentioned in Sec. 2, the proof of admissibility of contraction often requires the invertibility of rules. As an example, consider the derivation

$$\frac{\Gamma, A \supset B \vdash A, \Delta \quad \Gamma, B \vdash \Delta}{\Gamma, A \supset B \vdash \Delta} \supset_L \quad \frac{\Gamma, A \vdash B}{\Gamma \vdash A \supset B, \Delta} \supset_R \quad \frac{\Gamma \vdash A, B, \Delta}{\Gamma \vdash A \vee B, \Delta} \vee_R$$

Fig. 2: The multi-conclusion intuitionistic sequent calculus mIJ.

$$\frac{\Gamma, F \supset G, F \supset G \vdash F \quad \Gamma, G, F \supset G \vdash C}{\Gamma, F \supset G, F \supset G \vdash C} \supset_L$$

By inductive hypothesis on the left premise, $\Gamma, F \supset G \vdash F$ is provable and by invertibility of \supset_L on the right premise, $\Gamma, G, G \vdash C$ is provable and the result follows. Hence, by adding all the invertibilities already proved (system $G3ip_{+inv}$ in the table), the tool was able to prove admissibility of the rule C.

As shown in Sec. 2, the proof of permutability of rules requires the invertibility lemmas and admissibility of weakening (already proved). Using the system $G3ip_{+inv}$, the tool was able to prove all the permutability lemmas for propositional intuitionistic logic. The following table summarizes some of these results.

$\wedge_R \downarrow$	$\wedge_L \downarrow$	$\wedge_L \downarrow$	$\wedge_R \downarrow$	$\vee_i \downarrow$	$\wedge_L \downarrow$	$\wedge_L \downarrow$	$\vee_i \downarrow$	$\vee_{R_i} \downarrow$	$\vee_L \downarrow$	$\vee_L \downarrow$	$\vee_{R_i} \downarrow$	$\supset_L \downarrow$	$\supset_L \downarrow$	$\vee_{R_i} \downarrow$	$\supset_L \downarrow$	$\supset_L \downarrow$	$\wedge_L \downarrow$	$\supset_R \downarrow$	$\wedge_L \downarrow$
\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T

Note that the approach followed for $G3ip$, $G3ip_W$ and $G3ip_{+inv}$ in this section provides an example of a modular proof, where theorems are added as hypothesis to the system. In this way, more involved properties can be discarded.

6.2 Multi-conclusion Propositional Intuitionistic Logic (mIJ)

Maehara's mIJ [14] is a multiple conclusion system for intuitionistic logic. The rules are exactly the same as in $G3ip$, except for the \vee_R and implication (see Fig. 2). While the left rule copies the implication in the left premise, the right implication forces all formulas in the succedent of the conclusion sequent to be weakened (when viewed bottom-up). This guarantees that, on the application of the \supset_R rule on $A \supset B$, the formula B should be proved assuming *only* the pre-existent antecedent context extended with the formula A . This creates an interdependency between A and B .

The introduction rules in mIJ are invertible, with the exception of \supset_R . In particular, two different applications of \supset_R (on the same sequent) do not permute. For instance, from the premise of $\frac{\Gamma, A \vdash B}{\Gamma \vdash A \supset B, C \supset D, \Delta} \supset_R$ the sequent $\Gamma, C \vdash D$ cannot be proved. The results for this system are summarized in the table below:

Invertibilities										Structural		mIJ _{+inv}
I	\vee_L	\vee_R	\wedge_L	\wedge_R	\top_R	\top_L	\perp_L	\supset_L	\supset_R	W	C	C
\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\sim_{DN}	\checkmark_T

6.3 Propositional Classical Logic (G3cp)

G3cp [21] is a well known two-sided sequent system for classical logic, where the structural rules are implicit and all the rules are invertible. Differently from $G3ip$, weakening

$$\begin{array}{c}
\frac{}{\vdash p^\perp, p} I \quad \frac{\vdash \Gamma_1, A \quad \vdash \Gamma_2, B}{\vdash \Gamma_1, \Gamma_2, A \otimes B} \otimes \quad \frac{}{\vdash 1} 1 \quad \frac{\vdash \Gamma, A, B}{\vdash \Gamma, A \wp B} \wp \quad \frac{\vdash \Gamma}{\vdash \Gamma, \perp} \perp \quad \frac{\vdash \Gamma, A \quad \vdash \Gamma, B}{\vdash \Gamma, A \& B} \& \quad \frac{}{\vdash \Gamma, \top} \top \\
\\
\frac{\vdash \Gamma, A}{\vdash \Gamma, A \oplus B} \oplus_1 \quad \frac{\vdash \Gamma, B}{\vdash \Gamma, A \oplus B} \oplus_2 \quad \frac{\vdash ?A_1, \dots, ?A_n, A}{\vdash ?A_1, \dots, ?A_n, !A} ! \quad \frac{\vdash \Gamma, A}{\vdash \Gamma, ?A} ? \quad \frac{\vdash \Gamma}{\vdash \Gamma, ?A} W \quad \frac{\vdash \Gamma, ?A, ?A}{\vdash \Gamma, ?A} C
\end{array}$$

Fig. 3: One-sided Monadic system LL.

$$\frac{\vdash \Theta, F : \Gamma}{\vdash \Theta : \Gamma, ?F} ? \quad \frac{\vdash \Theta, F : \Gamma, F}{\vdash \Theta, F : \Gamma} copy \quad \frac{\vdash \Theta : \Gamma_1, A \quad \vdash \Theta : \Gamma_2, B}{\vdash \Theta : \Gamma_1, \Gamma_2, A \otimes B} \otimes$$

Fig. 4: Some rules of the dyadic system D-LL.

is not needed for the proof of invertibility of \supset_R . However, contraction still depends on invertibility results. The results are summarized below:

Invertibilities		Structural	G3cp+inv
I	$\vee_L \vee_R \wedge_L \wedge_R \top_R \top_L \perp_L \supset_L \supset_R$	W C	C
$\checkmark_T \checkmark_T \checkmark_T \checkmark_T \checkmark_T \checkmark_T \checkmark_T \checkmark_T \checkmark_T \checkmark_T \checkmark_T$	$\checkmark_T \checkmark_T \checkmark_T \checkmark_T \checkmark_T \checkmark_T \checkmark_T \checkmark_T \checkmark_T \checkmark_T \checkmark_T$	$\checkmark_T \sim_{DN}$	\checkmark_T

Assuming the already proved invertibility lemmas, the prover is able to show that, for all pair of rules r_1, r_2 in the system, $r_1 \downarrow r_2$.

6.4 Linear Logic (LL)

Linear logic [9] is a resource-conscious logic, in the sense that formulas are consumed when used during proofs, unless they are marked with the exponential $?$ (whose dual is $!$), in which case, they behave *classically*. Propositional LL connectives include the additive conjunction $\&$ and disjunction \oplus and their multiplicative versions \otimes and \wp . The proof system for one-sided (classical) propositional linear logic is depicted in Fig. 3.

Since formulas of the form $?F$ can be contracted and weakened, such formulas can be treated as in classical logic, while the remaining formulas are treated linearly. This is reflected into the syntax of the so called *dyadic sequents* (Fig. 4) which have two contexts: Θ is a set of formulas and Γ a multiset of formulas. The sequent $\vdash \Theta : \Gamma$ is interpreted as the linear logic sequent $\vdash ?\Theta, \Gamma$ where $?\Theta = \{?A \mid A \in \Theta\}$. It is then possible to define a proof system without explicit weakening and contraction (system D-LL in Fig 4). The complete dyadic proof system can be found in [1].

Since propositional LL is undecidable [13], infinite computations are possible. In this case study, a search bound is used to force termination of the implementation. Since all the theorems include a very controlled number of connectives (usually the 2 connectives involved in the application of the rules), this seems to be a fair solution.

For the monadic (LL) and the dyadic (D-LL) systems, the results of invertibility of rules are summarized in the next table.

LL and D-LL	LL	D-LL	D-LL+W _c
$1 \perp \top \otimes \& \wp \oplus_i !$	$? ?_C ?_W$	$? copy$	$?$
$\checkmark_T \checkmark_T \checkmark_T \checkmark_F \checkmark_T \checkmark_T \checkmark_F \checkmark_F$	$\checkmark_F \checkmark_T \checkmark_F$	$\sim_{DN} \checkmark_F$	\checkmark_T

$$\frac{\Gamma \vdash A}{\Gamma', \Box \Gamma \vdash \Box A, \Delta} \text{ k} \quad \frac{\Gamma, \Box A, A \vdash \Delta}{\Gamma, \Box A \vdash \Delta} \text{ T} \quad \frac{\Box \Gamma \vdash A}{\Gamma', \Box \Gamma \vdash \Box A, \Delta} \text{ 4}$$

Fig. 5: The modal sequent rules for K (k) and S4 (k + T + 4)

In LL, the rules $?$ (derliction) and $?_W$ (weakening) are not invertible, while $?_C$ (contraction) is invertible. In D-LL, the rule $?$ is invertible. However, the proof of this theorem fails for the case \otimes . To obtain a proof, first admissibility of weakening for the classical context is proved: if $\vdash \Theta : \Gamma$ is provable, then $\vdash \Theta, \Theta' : \Gamma$ is provable (rule W_c). $?$ is proved invertible in D-LL+ W_c .

Finally, the prover was able to discharge the following theorems:

- (LL) If $\vdash \Gamma, !F$ then $\vdash \Gamma, F$
- (D-LL) If $\vdash \Theta : \Gamma, !F$ then $\vdash \Theta : \Gamma, F$.

6.5 Normal Modal Logics: K and S4

A modal is an expression (like *necessarily* or *possibly*) that is used to qualify the truth of a judgement, e.g., $\Box A$ can be read as “the formula A is necessarily true”. The most familiar modal logics are constructed from the modal logic K and its extensions are called *normal modal logics*. The system S4 is an extension of K where $\Box \Box A \equiv \Box A$ holds. Fig. 5 presents the modal sequent rules for K and S4.

All the propositional rules are invertible in both K and S4, k and 4 are not invertible (due to the implicit weakening) while T is invertible. Similar to the previous systems, the admissibility of W follows immediately and the proof of admissibility of C requires as hypotheses the already proved invertibility lemmas:

Invertibilities										Structural		Modal Rules			K _{+inv}	S4 _{+inv}
I	\vee_L	\vee_R	\wedge_L	\wedge_R	\top_R	\top_L	\perp_L	\supset_L	\supset_R	W	C	k	4	T	C	C
\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\checkmark_T	\sim_{DN}	\checkmark_F	\checkmark_F	\checkmark_T	\checkmark_T	\checkmark_T

7 Related Work and Concluding Remarks

The proposal of many proof systems for many logics demanded trustful methods for determining good properties. In general, the checking was normally done via a case-by-case analysis, by trying exhaustively all the possible combinations of application of rules in a system. The advent of automated reasoning changed completely the scenery, since theorems started being proved automatically into meta-level frameworks. This has brought a whole new perspective to the field of proof theory: useless proof search steps usually singular for a specific logic were replaced by the development of general and universal methods for providing good automation strategies. This implies determining general conceptual characteristics of logical systems as well as choosing adequate meta-level frameworks that can capture (and reason about) them in a natural way.

This work moves forward in this direction: it proposes a general, natural and uniform way of proving key properties in sequent systems using the rewriting framework,

that enables modular proofs of meta-level properties of logical systems. Permutability of rules is a nice start case study since it is heavily used in cut-elimination proofs. Moreover, permutability has a rewriting counterpart: showing that applying a rule r_1 followed by a rule r_2 is the same as applying r_2 then r_1 can be interpreted as having the confluence property on the application of these two rules. The proof of permutability itself does not need inductive methods explicitly: they are hidden in other needed results like admissibility of weakening and invertibility of rules. The approach adopted in this work profits, as much as possible, from modularity. First test permutability without any other assumptions; then prove (if possible) admissibility of weakening and invertibility theorems; finally, add the proven theorems modularly to the system and re-run the permutability test: some cases for which the tool previously failed can now be proved. The same core algorithm can be used for proving admissibility of contraction, for example, which also depends on invertibility results.

The choice of rewriting as a meta-level framework brought advantages over some other options in the literature. Indeed, while approaches using logical frameworks depend heavily on the specification method and/or the implicit properties of the meta and object logics, rewriting logic enables the specification of the rules as they are actually written in text and figures. Consider for example the LF framework [20], based on intuitionistic logic, where the left context is handled by the framework as a set. Specifying sequent systems based on multisets requires elaborated mechanisms, which makes the encoding far from being natural. Moving from intuitionistic to linear logic solves this problem [5, 16], but still several sequent systems cannot be naturally specified into the LL framework, like mLJ. This can be partially fixed by adding subexponentials to linear logic (SELL) [18, 19], but then the encoding, although natural, is often non-trivial and it cannot be done automatically. Moreover, several logical systems cannot be naturally specified in SELL, like K. All in all, this paper is yet another proof that rewriting is an innovative and elegant framework for reasoning about logical systems, since results and systems themselves can be modularly extended. In fact, the approach here can be extended to reason about a large class of systems, including normal (multi-)modal [12] and paraconsistent [10] sequent systems. The authors conjecture that the same approach can be used for extensions of sequent systems themselves, like nested [4] or linear nested [11] systems. This is an interesting future research path worth pursuing.

Finally, a word about cut-elimination. The usual cut-elimination proof strategy can be summarized by the following steps: (i) transforming a proof with cuts into a proof with principal cuts; (ii) transforming a proof with principal cuts into a proof with atomic cuts; (iii) transforming a proof with atomic cuts into a cut-free proof. While step (ii) is not problematic (see e.g., [16]), steps (i) and (iii) strongly depend on the ability of showing *permutability* of rules. With the results shown in this work, it seems reasonable to envisage using the techniques and their implementation in order to fully automate cut-elimination proofs for various proof systems. It is worth noticing, though, that the aim of this paper is more general: proving results in a modular way permits maximizing their use in other applications as well. For example, it would be interesting to investigate further the role of invertible rules as equational rules in rewriting systems. While this idea sounds more than reasonable, it is necessary to check whether promoting invertible rules to equations preserves completeness of the system (e.g., the resulting equational

theory needs to be, at least, ground convergent and terminating). If the answer to this question is yes for a large class of systems, then the approach presented here also opens the possibility, e.g., to automatically propose focused systems [1].

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